

# PARALLELIZATION OF MODULAR ALGORITHMS

NAZERAN IDREES, GERHARD PFISTER, AND STEFAN STEIDEL

**ABSTRACT.** In this paper we investigate the parallelization of two modular algorithms. In fact, we consider the modular computation of Gröbner bases (resp. standard bases) and the modular computation of the associated primes of a zero-dimensional ideal and describe their parallel implementation in SINGULAR. Our modular algorithms to solve problems over  $\mathbb{Q}$  mainly consist of three parts, solving the problem modulo  $p$  for several primes  $p$ , lifting the result to  $\mathbb{Q}$  by applying Chinese remainder resp. rational reconstruction, and a part of verification. Arnold proved using the Hilbert function that the verification part in the modular algorithm to compute Gröbner bases can be simplified for homogeneous ideals (cf. [A03]). The idea of the proof could easily be adapted to the local case, i.e. for local orderings and not necessarily homogeneous ideals, using the Hilbert–Samuel function (cf. [Pf07]). In this paper we prove the corresponding theorem for non-homogeneous ideals in case of a global ordering.

## 1. INTRODUCTION

We consider an ideal in a polynomial ring over the rationals. In section 2 we describe a parallel modular implementation of the Gröbner basis (resp. standard basis) algorithm. Afterwards we restrict ourselves to the case of a zero-dimensional ideal and introduce a parallel modular implementation of the algorithm to compute the associated primes in section 3. Finally we give a couple of examples with corresponding timings and some conclusions in section 4. Both algorithms are implemented in SINGULAR. The Gröbner basis resp. standard basis algorithm can be found in the library `modstd.lib` and the algorithm for computing the associated primes in `assprimeszerodim.lib`. They are included in the release SINGULAR 3-1-2.

The task to compute a Gröbner basis  $G$  of an ideal  $I$  using modular methods consists of three steps. In the first step, we compute the Gröbner basis modulo  $p$  for sufficiently many primes  $p$  and, in the second step, use Chinese remainder and rational reconstruction to obtain a result over  $\mathbb{Q}$ . In the third step, we have to verify that the result obtained this way is correct, i.e. to verify that  $I = \langle G \rangle$  and  $G$  is a Gröbner basis of  $\langle G \rangle$ . If this fails we go back to the first step. The third step is usually at least as time consuming as the first step. Omitting the third step would produce a Gröbner basis only with high probability and the result could be wrong

---

*Date:* January 11, 2011.

*Key words and phrases.* Gröbner bases, primary decomposition, modular computation, parallel computation.

Part of the work was done at ASSMS, GCU Lahore – Pakistan.

in extreme situations. It is known that some of the commercial computer algebra systems have problems in this direction.<sup>1</sup>

Arnold proved using the Hilbert function that the verification part in the modular algorithm to compute Gröbner bases can be simplified for homogeneous ideals (cf. [A03]): Let  $I \subseteq \mathbb{Q}[x_1, \dots, x_n]$  be a homogeneous ideal,  $>$  a global monomial ordering and  $G \subseteq \mathbb{Q}[x_1, \dots, x_n]$  be a set of polynomials such that  $I \subseteq \langle G \rangle$ ,  $G$  is a Gröbner basis of  $\langle G \rangle$  and  $\text{LM}(G) = \text{LM}(I\mathbb{F}_p[x_1, \dots, x_n])$  for some prime number  $p$  where  $\text{LM}(G)$  denotes the set of leading monomials of  $G$  w.r.t.  $>$ , then  $G$  is a Gröbner basis of  $I$ . The idea of the proof could easily be adapted to the local case, i.e. for local orderings and  $I$  not necessarily homogeneous, using the Hilbert–Samuel function (cf. [Pf07]). In this paper we prove the corresponding theorem for non-homogeneous ideals in case of a global ordering. Two important assumptions of the theorem are the facts that  $I \subseteq \langle G \rangle$  and  $G$  is a Gröbner basis of  $\langle G \rangle$ . This verification can be very time consuming in a negative case. Hence, we use a so-called pTESTSB which is one of the new ideas for our algorithm. Therefore we randomly choose a prime number  $p$  which has not been used in the previous computations and perform the verification modulo  $p$ . Only if the pTESTSB is positive we perform the verification over  $\mathbb{Q}$ , and the last required condition that  $\text{LM}(G) = \text{LM}(I\mathbb{F}_p[x_1, \dots, x_n])$  is then automatically fulfilled.

The implementation of our algorithm as SINGULAR library implies that we did not change the kernel routines of SINGULAR. We plan to implement the algorithm in the kernel of SINGULAR in future. For this purpose we can apply the ideas of Gräbe (cf. [G94]) - using multimodular coefficients - and Traverso (cf. [T89]) - using the trace-algorithm. The trace-algorithm would speed up the computations in positive characteristic a lot. We compute a Gröbner basis of an ideal  $I \subseteq \mathbb{Q}[x_1, \dots, x_n]$  over  $\mathbb{F}_p[x_1, \dots, x_n]$  for a random prime  $p$  and keep in mind the zero-reductions of the  $s$ -polynomials such that we do not perform these reductions in any other Gröbner basis computation over  $\mathbb{F}_q[x_1, \dots, x_n]$  for primes  $q \neq p$ . We do not need these information, i.e. the guarantee that we really obtain a Gröbner basis over  $\mathbb{F}_q[x_1, \dots, x_n]$ , since we have the verification step - that the lifted result over  $\mathbb{Q}[x_1, \dots, x_n]$  is a Gröbner basis of  $I$  - at the end anyway.

Our idea regarding the primary decomposition of a zero-dimensional ideal  $I \subseteq \mathbb{Q}[X]$  is to compute the associated primes  $M_1, \dots, M_s$  of  $I$  and use separators  $\sigma_1, \dots, \sigma_s$ <sup>2</sup> such that the saturation  $I : \sigma_i^\infty$  of  $I$  w.r.t.  $\sigma_i$  is the primary ideal corresponding to  $M_i$  (cf. [SY96]). The computation of the associated primes is based on the so-called Shape Lemma (Proposition 3.1(2)). Here, one new idea is to choose a generic linear form  $r = a_1x_1 + \dots + a_{n-1}x_{n-1} + x_n$  with  $a_1, \dots, a_{n-1} \in \mathbb{Z}$  and a random prime  $p$  to test if  $\dim_{\mathbb{F}_p}(\mathbb{F}_p[X]/I\mathbb{F}_p[X]) = \dim_{\mathbb{F}_p}(\mathbb{F}_p[x_n]/(\psi(I)\mathbb{F}_p[X] \cap \mathbb{F}_p[x_n]))$ , i.e.  $\psi(I)\mathbb{F}_p[X] = \langle x_1 - g_1(x_n), \dots, x_{n-1} - g_{n-1}(x_n), F(x_n) \rangle$  whereat  $\psi$  denotes the linear map defined by  $\psi(x_i) = x_i$  for  $i = 1, \dots, n-1$  and  $\psi(x_n) = 2x_n - r$ . If this test

---

<sup>1</sup>Let  $N$  be the product of all primes smaller than  $2^{32}$  and  $I = \langle v + w + x + y + z, vw + wx + xy + yz + vz, vwx + wxy + xyz + vyz + vwz, vwxy + wxyz + vxyz + vwyz + vwzx, vwxyz + N \rangle \subseteq \mathbb{Q}[v, w, x, y, z]$ . Then MAGMA V2.16-11 (64-bit version) computes a wrong Gröbner basis, in particular it computes the Gröbner basis of the ideal  $J = \langle v + w + x + y + z, vw + wx + xy + yz + vz, vwx + wxy + xyz + vyz + vwz, vwxy + wxyz + vxyz + vwyz + vwzx, vwxyz \rangle \subseteq \mathbb{Q}[v, w, x, y, z]$  which obviously differs from  $I$ .

<sup>2</sup>We call  $\sigma_i$  a *separator* w.r.t.  $M_i$  if  $\sigma_i \notin M_i$  and  $\sigma_i \in M_j$  for  $j \neq i$ .

called PTESTRAD is positive then the ideal  $I$  in  $\mathbb{Q}[X]$  has the same property with high probability. If the test is negative then we compute the radical of  $I$  using the idea of Krick and Logar (Proposition 3.3(1)) combined with modular methods, and replace  $I$  by  $\sqrt{I}$ . Afterwards we compute  $\langle F \rangle = \langle I, T - r \rangle_{\mathbb{Q}[X, T]} \cap \mathbb{Q}[T]$ , again using modular methods, i.e. we compute  $F^{(p)}$  such that  $\langle F^{(p)} \rangle = \langle I, T - r \rangle_{\mathbb{F}_p[X, T]} \cap \mathbb{F}_p[T]$  and  $\deg(F^{(p)}) = \dim_{\mathbb{Q}}(\mathbb{Q}[X]/I)$  for sufficiently many primes  $p$ , and we use Chinese remainder and rational reconstruction to obtain  $F \in \mathbb{Q}[T]$ . The verification is the test whether it holds  $F(r) \in I$  and no proper factor of  $F(r)$  is in  $I$ . If  $F = F_1^{\nu_1} \cdots F_s^{\nu_s}$  is the factorization of  $F$  in  $\mathbb{Q}[T]$  into irreducible factors then  $M_1 = \langle I, F_1(r) \rangle, \dots, M_s = \langle I, F_s(r) \rangle$  are the associated primes of  $I$ . The new ideas in this approach are the PTESTRAD described above and the fact that we do not compute the associated primes in positive characteristic but instead one special generator of the radical,  $F(r)$ , which is much better to control.<sup>3</sup>

We use the following notation. Let  $X = \{x_1, \dots, x_n\}$  be a set of variables. We denote by  $\text{Mon}(X)$  the set of monomials, and by  $\mathbb{Q}[X]$  the polynomial ring over  $\mathbb{Q}$  in these  $n$  indeterminates. Let  $S \subseteq \mathbb{Q}[X]$  be a set of polynomials, then  $\text{LM}(S) := \{\text{LM}(f) \mid f \in S\}$  is the set of leading monomials of  $S$ . Given an ideal  $I \subseteq \mathbb{Q}[X]$  we can always choose a finite set of polynomials  $F_I$  such that  $I = \langle F_I \rangle$ . If  $I = \langle f_1, \dots, f_r \rangle \subseteq \mathbb{Q}[X]$  and  $p$  is a prime number which does not divide any denominator of the coefficients of  $f_1, \dots, f_r$  we will write  $I_p := \langle f_1 \bmod p, \dots, f_r \bmod p \rangle \subseteq \mathbb{F}_p[X]$ .

## 2. COMPUTING GRÖBNER BASES USING MODULAR METHODS

In the following we consider an ideal  $I = \langle f_1, \dots, f_r \rangle \subseteq \mathbb{Q}[X]$  together with a monomial ordering  $>$  and set  $F_I = \{f_1, \dots, f_r\}$ . We assume that  $>$  is either global or local. Within this section we describe an algorithm for computing a Gröbner basis resp. a standard basis<sup>4</sup>  $G \subseteq \mathbb{Q}[X]$  of  $I$  by using modular methods.

The basic idea of the algorithm is as follows. Choose a set  $P$  of prime numbers, compute standard bases  $G_p$  of  $I_p \subseteq \mathbb{F}_p[X]$ , for every  $p \in P$ , and finally lift these modular standard bases to a standard basis  $G \subseteq \mathbb{Q}[X]$  of  $I$ . The lifting process consists of two steps. Firstly, the set  $GP := \{G_p \mid p \in P\}$  is lifted to  $G_N \subseteq \mathbb{Z}/N\mathbb{Z}[X]$  with  $N := \prod_{p \in P} p$  by applying the Chinese remainder algorithm to the coefficients of the polynomials occurring in  $GP$ . Since  $G_N$  is uniquely determined modulo  $N$ , theory requires  $N$  to be larger than the moduli of all coefficients occurring in a standard basis of  $I$  over  $\mathbb{Q}$ . This issue is not revisable a priori and will be discussed later in this section. Secondly, we obtain  $G \subseteq \mathbb{Q}[X]$  by pulling back the modular coefficients occurring in  $G_N$  to rational coefficients via the Farey rational map<sup>5</sup>. This map is guaranteed to be bijective provided that  $\sqrt{N/2}$  is larger than the moduli of all coefficients in  $G$ .<sup>6</sup> The latter condition on  $N$  concerning the Farey rational map obviously implies the former condition concerning the Chinese

<sup>3</sup>The computation of the associated primes in positive characteristic would create similar problems as the factorization of polynomials: Different behaviour of splitting in different characteristics. Therefore it is easier and faster to compute  $F \in \mathbb{Q}[T]$  and factorize this polynomial.

<sup>4</sup>For definitions and properties cf. [GP07].

<sup>5</sup>Farey fractions refer to rational reconstruction. A definition of Farey fractions and the Farey rational map can be found in [A03], [KG83], [Pf07]; for remarks concerning its computation cf. [KG83].

<sup>6</sup>Remarks on the required bound on the coefficients are given in [KG83].

remainder algorithm. We consequently define two corresponding notions that are essential regarding the algorithm.

**Definition 2.1.** Let  $G$  be a standard basis of  $I$ .

- (1) If  $G_p$  is a standard basis of  $I_p$ , then the prime number  $p$  is called *lucky for  $I$*  if and only if  $\text{LM}(G) = \text{LM}(G_p)$ . Otherwise  $p$  is called *unlucky for  $I$* .
- (2) A set  $P$  of lucky primes for  $I$  is called *sufficiently large for  $I$*  if and only if  $\prod_{p \in P} p \geq \max\{2 \cdot |c|^2 \mid c \text{ coefficient occurring in } G\}$ .

Now we can concretize the theoretical idea of the algorithm. Consider a sufficiently large set  $P$  of lucky primes for  $I$  such that none of these primes divides any coefficient occurring in  $F_I$ , compute the set  $GP$ , and lift this result to a rational standard basis  $G$  of  $I$  as aforementioned. More details can be found in [A03].

In practice, we have to handle two difficulties since naturally the standard basis  $G$  of  $I$  is a priori unknown. In fact, it is necessary to ensure that every prime number used is lucky for  $I$ , and to decide whether the chosen set of primes is sufficiently large for  $I$ .

Therefore, we fix a natural number  $s$  and an arbitrary set of primes  $P$  of cardinality  $s$ . After having computed the set of standard bases  $GP := \{G_p \mid p \in P\}$  we delete the unlucky primes in the following way.

**DELETEUNLUCKYPRIESSB:** *We define an equivalence relation on  $(GP, P)$  by  $(G_p, p) \sim (G_q, q) : \iff \text{LM}(G_p) = \text{LM}(G_q)$ . Then the equivalence class of largest cardinality is stored in  $(GP, P)$ , the others are deleted.*

With the aid of this method we are able to choose a set of lucky primes with high probability. A faulty decision will be compensated by subsequent tests.

Since we cannot predict if a given set of primes  $P$  is sufficiently large for  $I$ , we have to proceed by trial and error. Hence, we lift the set  $GP$  to  $G \subseteq \mathbb{Q}[X]$ , as per the description at the beginning of this section, and test whether  $G$  is already a standard basis of  $I$ . Otherwise we enlarge the set  $P$  by  $s$  new prime numbers and continue analogously until once the test is positive. The test especially verifies whether  $G$  is a standard basis of  $\langle G \rangle$ , but this computation in  $\mathbb{Q}[X]$  can be very expensive if  $P$  is far away from being sufficiently large for  $I$ . Hence, we prefix a test in positive characteristic that is a sufficient criterion if  $P$  is not sufficiently large for  $I$ .

**PTESTSB:** *We randomly choose a prime number  $p \notin P$  such that  $p$  does not divide the numerator and denominator of any coefficient occurring in  $F_I$ . The test is positive if and only if  $(G \bmod p)$  is a standard basis of  $I_p$ . We explicitly test whether  $(f_i \bmod p) \in \langle G \bmod p \rangle$  for  $i = 1, \dots, r$  and  $(G \bmod p) \subseteq \text{std}(I_p)$ <sup>7</sup>.*

This test in positive characteristic accelerates the algorithm enormously. It is much faster than in characteristic zero since the standard basis computation in PTESTSB is as expensive as in any other positive characteristic, i.e., as any other standard basis computation within the algorithm.

If the PTESTSB is negative, then  $P$  is not sufficiently large for  $I$ , that is,  $G$  cannot be a standard basis of  $I$  over  $\mathbb{Q}$ . Contrariwise, if the PTESTSB is positive, then  $G$  is most probably a standard basis of  $I$ .

---

<sup>7</sup>The procedure **std** is implemented in SINGULAR and computes a Gröbner basis resp. standard basis of the input.

Algorithm 1 shows the modular standard basis algorithm.<sup>8</sup>

---

**Algorithm 1** MODSTD

---

Assume that  $>$  is either a global or a local monomial ordering.

**Input:**  $I \subseteq \mathbb{Q}[X]$ .

**Output:**  $G \subseteq \mathbb{Q}[X]$  the standard basis of  $I$ .

choose  $P$ , a list of random primes;

$GP = \emptyset$ ;

**loop**

**for**  $p \in P$  **do**

    compute a standard basis  $G_p$  of  $I_p$ ;

$GP = GP \cup \{G_p\}$ ;

$(GP, P) = \text{DELETEUNLUCKYPRIEMESB}(GP, P)$ ;

  lift  $(GP, P)$  to  $G \subseteq \mathbb{Q}[X]$  by applying Chinese remainder and Farey rational map;

**if**  $\text{PTESTSB}(I, G, P)$  **then**

**if**  $I \subseteq \langle G \rangle$  **then**

**if**  $G$  is a standard basis of  $\langle G \rangle$  **then**

**return**  $G$ ;

    enlarge  $P$ ;

---

*Remark 2.2.* The presented version of the algorithm is just pseudo-code whereas its implementation in SINGULAR is optimized. E.g., the standard bases  $G_p$  of  $I_p \subseteq \mathbb{F}_p[X]$  for  $p \in P$  are not computed repeatedly, but stored and reused in further iteration steps.

*Remark 2.3.* Algorithm 1 can easily be parallelized in the following way:

- (1) Compute the standard bases  $G_p$  in parallel.
- (2) Parallelize the final tests:
  - Check if  $I \subseteq \langle G \rangle$  by checking if  $f \in \langle G \rangle$  for all  $f \in F_I$ .
  - Check if  $G$  is a standard basis of  $\langle G \rangle$  by checking if every  $s$ -polynomial not excluded by well-known criteria, vanishes by reduction w.r.t.  $G$ .

Algorithm 1 terminates by construction, and its correctness is guaranteed by the following theorem which is proven in [A03] in the case that  $I$  is homogeneous resp. in [Pf07] in the case that the ordering is local. The case that the ordering is global follows by using weighted homogenization as in Theorem 7.5.1 of [GP07].

**Theorem 2.4.** *Let  $G \subseteq \mathbb{Q}[X]$  be a set of polynomials such that  $\text{LM}(G) = \text{LM}(G_p)$  where  $G_p$  is a standard basis of  $I_p$  for some prime number  $p$ ,  $G$  is a standard basis of  $\langle G \rangle$  and  $I \subseteq \langle G \rangle$ . Then  $I = \langle G \rangle$ .*

Note that the first condition follows from a positive result of  $\text{PTESTSB}$  whereas the second and third condition are verified explicitly at the end of the algorithm.

*Proof of Theorem 2.4.* We assume that  $>$  is a global monomial ordering. The proof for a local ordering is similar. Let  $F_I = \{f_1, \dots, f_r\} \subseteq \mathbb{Q}[X]$  such that  $I = \langle F_I \rangle$

---

<sup>8</sup>The corresponding procedures are implemented in SINGULAR in the library `modstd.lib`.

and  $G = \{g_1, \dots, g_s\} \subseteq \mathbb{Q}[X]$ . Since  $G$  is a standard basis of  $\langle G \rangle$  w.r.t.  $>$  and  $I \subseteq \langle G \rangle$  there exist for each  $i = 1, \dots, r$  polynomials  $\xi_{ij} \in \mathbb{Q}[X]$  such that

$$f_i = \sum_{j=1}^s \xi_{ij} g_j \quad \text{satisfying} \quad \text{LM}_{>}(f_i) \geq \text{LM}_{>}(\xi_{ij} g_j) \quad \text{for all } j = 1, \dots, s.$$

Due to Corollary 1.7.9 of [GP07] there exists a finite set  $M \subseteq \text{Mon}(X)$  with the following property: Let  $>'$  be any monomial ordering on  $\text{Mon}(X)$  coinciding with  $>$  on  $M$ , then  $\text{LM}_{>}(G) = \text{LM}_{>'}(G)$  and  $G$  is also a standard basis of  $\langle G \rangle$  w.r.t.  $>'$ .

Moreover, due to Lemma 1.2.11 resp. Exercise 1.7.17 of [GP07] we possibly enlarge the set  $M$  and choose some  $w = (w_1, \dots, w_n) \in \mathbb{Z}_{>0}^n$  such that  $> = >_w$  on  $M$ , i.e.  $\text{LM}_{>}(G) = \text{LM}_{>_w}(G)$  resp.  $G$  is a standard basis of  $\langle G \rangle$  w.r.t.  $>_w$ , and<sup>9</sup>

$$\begin{aligned} \text{w-deg}(\text{LM}_{>_w}(f_i)) &> \text{w-deg}(\text{LM}_{>_w}(\text{tail}(f_i))), \\ \text{w-deg}(\text{LM}_{>_w}(g_j)) &> \text{w-deg}(\text{LM}_{>_w}(\text{tail}(g_j))), \\ \text{w-deg}(\text{LM}_{>_w}(\xi_{ij} g_j)) &> \text{w-deg}(\text{LM}_{>_w}(\text{tail}(\xi_{ij} g_j))), \end{aligned}$$

for all  $i = 1, \dots, r$  and  $j = 1, \dots, s$ .

Now we consider on  $\mathbb{Q}[X, t]$  the weighted degree ordering with weight vector  $(w_1, \dots, w_n, 1)$  refined by  $>_w$  on  $\mathbb{Q}[X]$  and denote it also by  $>_w$ . For  $f \in \mathbb{Q}[X]$  let  $f^h = t^{\text{w-deg}(f)} \cdot f(x_1/t^{w_1}, \dots, x_n/t^{w_n})$  be the weighted homogenization of  $f$  w.r.t.  $t$ . We set  $\overline{F}_I := \{f_1^h, \dots, f_r^h\}$ ,  $\overline{I} := \langle \overline{F}_I \rangle$  and  $\overline{G} := \{g_1^h, \dots, g_s^h\}$ . Then Proposition 7.5.3 of [GP07] guarantees that  $\overline{G}$  is a standard basis of  $\langle \overline{G} \rangle$  and since  $\text{LM}_{>_w}(G) = \text{LM}_{>_w}(G_p)$  it also holds by construction that  $\text{LM}_{>_w}(\overline{G}) = \text{LM}_{>_w}(\overline{G}_p)$ . Now let  $i \in \{1, \dots, r\}$ , then  $f_i = \sum_{j=1}^s \xi_{ij} g_j$  satisfying  $\text{LM}_{>_w}(f_i) \geq \text{LM}_{>_w}(\xi_{ij} g_j)$  for all  $j = 1, \dots, s$ . This implies  $\text{w-deg}(f_i) \geq \text{w-deg}(\xi_{ij} g_j)$  for all  $j = 1, \dots, s$  by the choice of  $w \in \mathbb{Z}_{>0}^n$ . Consequently we have

$$\begin{aligned} t^{\text{w-deg}(f_i)} f \left( \frac{x_1}{t^{w_1}}, \dots, \frac{x_n}{t^{w_n}} \right) \\ = \sum_{j=1}^s t^{\text{w-deg}(f_i)} \xi_{ij} \left( \frac{x_1}{t^{w_1}}, \dots, \frac{x_n}{t^{w_n}} \right) g_j \left( \frac{x_1}{t^{w_1}}, \dots, \frac{x_n}{t^{w_n}} \right) \in \langle \overline{G} \rangle, \end{aligned}$$

thus  $f_i^h \in \langle \overline{G} \rangle$  resp.  $\overline{I} \subseteq \langle \overline{G} \rangle$  since  $i \in \{1, \dots, r\}$  was arbitrarily chosen.

It remains to prove that  $\overline{I} = \langle \overline{G} \rangle$ . Let  $n \in \mathbb{N}$ . We know that  $\overline{I}_p = \langle \overline{G}_p \rangle$  due to the fact that  $\text{LM}_{>_w}(\overline{G}) = \text{LM}_{>_w}(\overline{G}_p)$ , so especially it holds  $\text{HF}_{\overline{I}_p}(n) = \text{HF}_{\langle \overline{G}_p \rangle}(n) = \text{HF}_{\langle \overline{G} \rangle}(n)$  for the corresponding Hilbert functions. On the other hand we have

$$\text{HF}_{\overline{I}}(n) \leq \text{HF}_{\overline{I}_p} = \text{HF}_{\langle \overline{G} \rangle}(n) \leq \text{HF}_{\overline{I}}(n) < \infty,$$

where the second inequality is true since  $\overline{I} \subseteq \langle \overline{G} \rangle$ . The first inequality follows from the fact that  $\dim_{\mathbb{Q}}(\overline{I}[n]) \geq \dim_{\mathbb{F}_p}(\overline{I}_p[n])$ , where  $\overline{I}[n]$  resp.  $\overline{I}_p[n]$  denotes the vector space generated by all (weighted) homogeneous polynomials of degree  $n$ . Namely we can find a  $\mathbb{Q}$ -basis of  $\overline{I}[n]$  of polynomials in  $\mathbb{Z}[X, t] \cap \overline{I}$  which induces generators of  $\overline{I}_p[n]$ .  $\square$

*Remark 2.5.* Algorithm 1 is also applicable without applying the final tests, i.e. skipping the verification that  $I \subseteq \langle G \rangle$  and  $G$  is a standard basis of  $\langle G \rangle$ . In this case the algorithm is probabilistic, i.e. the output  $G$  is a standard basis of the input

<sup>9</sup>For a polynomial  $f \in \mathbb{Q}[X]$ , we define by  $\text{tail}(f) := f - \text{LM}(f)$  the *tail* of  $f$ ; cf. [GP07].

$I$  only with high probability. This usually accelerates the algorithm enormously. Note that the probabilistic algorithm works for any ordering, i.e. also for the so-called mixed ordering. In case of a mixed ordering one could homogenize the ideal  $I$ , compute a standard basis using MODSTD and dehomogenize afterwards. Experiments showed that this is usually not efficient since the standard basis of the homogenized input has often much more elements than the standard basis of the ideal we started with.

### 3. A MODULAR APPROACH TO PRIMARY DECOMPOSITION

In the following let  $I \subseteq \mathbb{Q}[X]$  be a zero-dimensional ideal and  $d := \dim_{\mathbb{Q}}(\mathbb{Q}[X]/I)$ . Within this section we describe an algorithm for computing the associated primes of  $I$  using modular methods. In conclusion we make remarks how to achieve the corresponding primary ideals from the associated primes of  $I$ .

The following well-known proposition (cf. [GTZ88] or [GP07]) describes how to compute the associated prime ideals of a radical ideal over  $\mathbb{Q}$ . Note that these results are also valid for perfect infinite fields.

**Proposition 3.1.** *Let  $I \subseteq \mathbb{Q}[X]$  be a radical ideal.*

- (1) *Let  $\langle F \rangle = I \cap \mathbb{Q}[x_n]$  and assume  $\deg(F) = \dim_{\mathbb{Q}}(\mathbb{Q}[X]/I)$ . Let  $F = F_1 \cdots F_s$  be the factorization of  $F$  into irreducible factors over  $\mathbb{Q}$ . Then  $I = \bigcap_{i=1}^s \langle I, F_i \rangle$  and  $\langle I, F_i \rangle$  is prime for  $i = 1, \dots, s$ .*
- (2) *There exists a non-empty Zariski open subset  $U \subseteq \mathbb{Q}^{n-1}$  such that for all  $a = (a_1, \dots, a_{n-1}) \in U$  the linear coordinate change  $\varphi_a$  defined by  $\varphi_a(x_i) = x_i$  for  $i = 1, \dots, n-1$  and  $\varphi_a(x_n) = x_n + \sum_{i=1}^{n-1} a_i x_i$  satisfies*

$$\dim_{\mathbb{Q}}(\mathbb{Q}[X]/\varphi_a(I)) = \dim_{\mathbb{Q}}(\mathbb{Q}[x_n]/(\varphi_a(I) \cap \mathbb{Q}[x_n])).$$

**Corollary 3.2.** *Let  $F \in \mathbb{Q}[T]$ ,  $T$  a variable, be squarefree and  $r = x_n + \sum_{i=1}^{n-1} a_i x_i$  with  $a_1, \dots, a_{n-1} \in \mathbb{Z}$  such that  $\deg(F) = \dim_{\mathbb{Q}}(\mathbb{Q}[X]/I)$ , and  $F(r) \in I$  but no proper factor of  $F(r)$  is in  $I$ , then  $I$  is a radical ideal. Let  $F = F_1 \cdots F_s$  be the factorization of  $F$  into irreducible factors over  $\mathbb{Q}$ . Then  $I = \bigcap_{i=1}^s \langle I, F_i(r) \rangle$  and  $\langle I, F_i(r) \rangle$  is prime for  $i = 1, \dots, s$ .*

*Proof.* Using a linear change of variables we may assume that  $r = x_n$ . Since no proper factor of  $F(r)$  is in  $I$  we obtain  $\langle F(x_n) \rangle = I \cap \mathbb{Q}[x_n]$ . Since  $\deg(F) = \dim_{\mathbb{Q}}(\mathbb{Q}[X]/I)$  we have  $I = \langle x_1 - h_1(x_n), \dots, x_{n-1} - h_{n-1}(x_n), F(x_n) \rangle$  for suitable  $h_1, \dots, h_{n-1} \in \mathbb{Q}[x_n]$ . Thus,  $I$  is radical because  $F$  is squarefree. The rest is an immediate consequence of Proposition 3.1(1).  $\square$

Consequently, for the computation of the primary decomposition, we firstly verify whether  $I$  is already radical. Therefore we choose a generic linear form  $r = a_1 x_1 + \dots + a_{n-1} x_{n-1} + x_n$  with  $a_1, \dots, a_{n-1} \in \mathbb{Z}$ , and use a test in positive characteristic, similarly to section 2.

**PTESTRAD:** *We randomly choose a prime number  $p$  such that  $\dim_{\mathbb{F}_p}(\mathbb{F}_p[X]/I_p) = d$ . Let  $\varphi : \mathbb{F}_p[T] \rightarrow \mathbb{F}_p[X]$  be defined by  $\varphi(T) = r \pmod{p}$  (cf. Lemma 3.6(1)) and  $\langle F_p \rangle := \varphi^{-1}(I_p)$ . We test if  $\deg(F_p) = d$ .*

In case of a negative result of the test there is a high probability that the ideal is not radical (cf. Proposition 3.1(2)) and we compute the radical using modular methods. The computation of the radical is usually much more time consuming than the PTESTRAD even if the ideal is already radical. The following proposition

(cf. [KrLo91], [GP07]) is the basis for computing the radical of a zero-dimensional ideal.

**Proposition 3.3.** *Let  $I \subseteq \mathbb{Q}[X]$  be a zero-dimensional ideal and  $\langle f_i \rangle = I \cap \mathbb{Q}[x_i]$  for  $i = 1, \dots, n$ . Moreover, let  $g_i$  be the squarefree part of  $f_i$ . Then the following holds.*

- (1)  $\sqrt{I} = I + \langle g_1, \dots, g_n \rangle$ .
- (2) If  $\deg(f_n) = \dim_{\mathbb{Q}}(\mathbb{Q}[X]/I)$  then  $\sqrt{I} = \langle I, g_n \rangle$ .

*Proof.* Part (1) of the proposition is proved in [KrLo91]. For part (2) we notice that if it holds  $\deg(f_n) = \dim_{\mathbb{Q}}(\mathbb{Q}[X]/I)$  then there exist  $h_1, \dots, h_{n-1} \in \mathbb{Q}[x_n]$  such that  $\{x_1 - h_1, \dots, x_{n-1} - h_{n-1}, f_n\}$  is a Gröbner basis of  $I$  w.r.t. the lexicographical ordering  $x_1 > \dots > x_n$ . Thus, we have  $\sqrt{I} = \langle x_1 - h_1, \dots, x_{n-1} - h_{n-1}, g_n \rangle$ .  $\square$

With analogous considerations as in section 2, the essential idea of the algorithm to compute the radical of  $I$  is as follows. Choose a set  $P$  of prime numbers, compute, for every  $p \in P$ , monic polynomials  $f_1^{(p)}, \dots, f_n^{(p)}$  satisfying  $\langle f_i^{(p)} \rangle = I_p \cap \mathbb{F}_p[x_i]$  for  $i = 1, \dots, n$  and finally lift these polynomials via Chinese remainder algorithm and Farey rational map to  $(f_1, \dots, f_n) \in \mathbb{Q}[x_1] \times \dots \times \mathbb{Q}[x_n]$ .

**Definition 3.4.** Let  $(f_1, \dots, f_n) \in \mathbb{Q}[x_1] \times \dots \times \mathbb{Q}[x_n]$  satisfy  $\langle f_i \rangle = I \cap \mathbb{Q}[x_i]$  for  $i = 1, \dots, n$ .<sup>10</sup>

- (1) If  $(f_1^{(p)}, \dots, f_n^{(p)}) \in \mathbb{F}_p[x_1] \times \dots \times \mathbb{F}_p[x_n]$  satisfies  $\langle f_i^{(p)} \rangle = I_p \cap \mathbb{F}_p[x_i]$  for  $i = 1, \dots, n$ , then the prime number  $p$  is called *lucky for  $I$*  if and only if  $\deg(f_i) = \deg(f_i^{(p)})$  for  $i = 1, \dots, n$ . Otherwise  $p$  is called *unlucky for  $I$* .
- (2) A set  $P$  of lucky primes for  $I$  is called *sufficiently large for  $I$*  if and only if  $\prod_{p \in P} p \geq \max\{2 \cdot |c|^2 \mid c \text{ coefficient occurring in } f_1, \dots, f_n\}$ .

After having computed the set  $FP := \{(f_1^{(p)}, \dots, f_n^{(p)}) \mid p \in P\}$  we delete the unlucky primes in the following way.

**DELETEUNLUCKYPRIMESRAD:** *We define an equivalence relation on  $(FP, P)$  by  $(F^{(p)}, p) \sim (F^{(q)}, q) : \iff \deg(f_i^{(p)}) = \deg(f_i^{(q)})$  for  $i = 1, \dots, n$ . Then the equivalence class of largest cardinality is stored in  $(FP, P)$ , the others are deleted.*

With the aid of this method we are able to choose a set of lucky primes with high probability. A faulty decision will be compensated by the subsequent test whether  $f_i \in I$  for  $i = 1, \dots, n$ .

Since we cannot predict if a given set of primes  $P$  is sufficiently large for  $I$ , we have to proceed by trial and error as already described in section 2.

Algorithm 2 computes the radical of  $I$ .<sup>11</sup>

If the `PTESTRAD` is positive then, with high probability, after a generic coordinate change it holds  $\dim_{\mathbb{Q}}(\mathbb{Q}[x_n]/(I \cap \mathbb{Q}[x_n])) = d$ . In this case it is not necessary to compute the radical of  $I$  and we rely on the following corollary.

<sup>10</sup>By abuse of notation we use the same terminology as in Definition 2.1 since it is always clear out of context which definition we are referring to.

<sup>11</sup>The corresponding procedure is implemented in `SINGULAR` in the library `assprimeszerodim.lib`.



**Algorithm 2** ZERO RADICAL

---

**Input:**  $I = \langle G_I \rangle \subseteq \mathbb{Q}[X]$  a zero-dimensional ideal generated by a Gröbner basis  $G_I$  w.r.t. some global ordering.

**Output:**  $G \subseteq \mathbb{Q}[X]$  a Gröbner basis of the radical of  $I$  w.r.t. a degree-ordering.

choose  $P$ , a list of random primes;  
 $FP = \emptyset$ ;  
**loop**  
  **for**  $p \in P$  **do**  
    compute monic polynomials  $f_i^{(p)}$  such that  $\langle f_i^{(p)} \rangle = I_p \cap \mathbb{F}_p[x_i]$  for  $i = 1, \dots, n$ ;  
     $FP = FP \cup \{(f_1^{(p)}, \dots, f_n^{(p)})\}$ ;  
     $(FP, P) = \text{DELETEUNLUCKYP RIMESRAD}(FP, P)$ ;  
    lift  $(FP, P)$  to  $(f_1, \dots, f_n) \in \mathbb{Q}[x_1] \times \dots \times \mathbb{Q}[x_n]$  by applying Chinese remainder and Farey rational map;  
    use  $G_I$  to test if  $f_i \in I$  for  $i = 1, \dots, n$ ;  
    **if**  $f_i \in I$  for all  $i = 1, \dots, n$  **then**  
      exit loop;  
    enlarge  $P$ ;  
  **for**  $i = 1, \dots, n$  **do**  
    compute  $g_i$ , the squarefree part of  $f_i$ ;  
 $I = I + \langle g_1, \dots, g_n \rangle$ ;  
  compute  $G \subseteq \mathbb{Z}[X]$ , a  $\mathbb{Q}[X]$ -Gröbner basis of  $I$  w.r.t. a degree-ordering;<sup>12</sup>  
**return**  $G$ ;

---

**Corollary 3.5.** *Let  $I \subseteq \mathbb{Q}[X]$  be a zero-dimensional ideal and  $r = x_n + \sum_{i=1}^{n-1} a_i x_i$  with  $a_1, \dots, a_{n-1} \in \mathbb{Z}$ . Let  $F \in \mathbb{Q}[T]$ ,  $T$  be a variable, such that  $\deg(F) = \dim_{\mathbb{Q}}(\mathbb{Q}[X]/I)$  and  $F(r) \in I$  but no proper factor of  $F(r)$  is in  $I$ . Moreover, let  $H$  be the squarefree part of  $F$ . Then  $\sqrt{I} = \langle I, H(r) \rangle$ .*

*Proof.* The proof is a consequence of Proposition 3.3(2) and Corollary 3.2.  $\square$

Consequently we need to obtain a polynomial  $F \in \mathbb{Q}[T]$  satisfying the required properties of Corollary 3.2 resp. Corollary 3.5. The following lemma is helpful in this direction.

**Lemma 3.6.** *Let  $K$  be a field<sup>13</sup>,  $F \in K[T]$ ,  $T$  a variable, be monic and squarefree, let  $r = x_n + \sum_{i=1}^{n-1} a_i x_i$ ,  $a_1, \dots, a_{n-1} \in K$ , such that  $\deg(F) = \dim_K(K[X]/I)$  and  $F(r) \in I$  but no proper factor of  $F(r)$  is in  $I$ .*

- (1) *Let  $\varphi : K[T] \rightarrow K[X]$  be defined by  $\varphi(T) = r$ . Then  $\varphi^{-1}(I) = \langle F \rangle$ .*
- (2) *Let  $\psi : K[X] \rightarrow K[X]$  be defined by  $\psi(x_i) = x_i$  for  $i = 1, \dots, n-1$  and  $\psi(x_n) = 2x_n - r$ . Then  $\psi(I) \cap K[x_n] = \langle F(x_n) \rangle$ .*
- (3) *Let  $\lambda : K[X]/I \rightarrow K[X]/I$  be the map defined by the multiplication with  $r$ ,  $\lambda(g + I) = r \cdot g + I$ . Then  $F$  is the characteristic polynomial of  $\lambda$ .*

*Proof.* (1) Since  $\varphi(F) = F(r) \in I$  we obtain  $F \in \varphi^{-1}(I)$ . Thus we have  $\langle F \rangle = \varphi^{-1}(I)$  because no proper factor of  $F(r)$  is in  $I$ .

<sup>12</sup>Here we use the procedure MODSTD as described in section 2.

<sup>13</sup>We substitute  $\mathbb{Q}$  by an arbitrary field  $K$  since we also need the results of Lemma 3.6 for finite fields.

- (2) It holds  $F(x_n) = \psi(F(r)) \in \psi(I)$  by definition of  $\psi$ . The assumption implies that no proper factor of  $F(x_n)$  is in  $\psi(I)$ , i.e.  $\langle F(x_n) \rangle = \psi(I) \cap K[x_n]$ .
- (3) Using the map  $\psi$  of (2) we may assume  $r = x_n$ . As in the proof of Corollary 3.2 we obtain  $I = \langle x_1 - h_1, \dots, x_{n-1} - h_{n-1}, F(x_n) \rangle$  for suitable  $h_1, \dots, h_{n-1} \in K[x_n]$  since  $\deg(F) = \dim_K(K[X]/I) = d$ . Hence, we may choose  $\{1, x_n, \dots, x_n^{d-1}\}$  as a basis of  $K[X]/I \cong K[x_n]/\langle F(x_n) \rangle$ , and obtain the polynomial  $F$  to be the characteristic polynomial of the multiplication with  $x_n$ .

□

Lemma 3.6 shows that the approach of Eisenbud, Hunecke, Vasconcelos (cf. [EHV92]) using (1) of the lemma, the approach of Gianni, Trager, Zacharias (cf. [GTZ88]) using (2) of the lemma and the approach of Monico (cf. [M02]) using (3) of the remark are in principle the same. The computations for (1) resp. (2) require Gröbner bases with respect to suitable block-orderings whereas in (3) we do not need a special ordering for the Gröbner basis but we have to compute a determinant. All three algorithms are implemented in SINGULAR.

*Remark 3.7.* We can also compute the polynomial  $F \in \mathbb{Q}[T]$  using modular methods. For this purpose we compute  $F^{(p)} \in \mathbb{F}_p[T]$  monic such that  $\langle F^{(p)} \rangle = \ker(\varphi_p)$ , whereat  $\varphi_p : \mathbb{F}_p[T] \rightarrow \mathbb{F}_p[X]/I_p$ ,  $\varphi_p(T) = r \bmod I_p$ , for several prime numbers  $p$  and preserve just those  $F^{(p)}$  with  $\deg(F^{(p)}) = d$ . Afterwards we lift the results to  $F \in \mathbb{Q}[T]$  by applying Chinese remainder and Farey rational map.

*Remark 3.8.* If  $K = \mathbb{C}$  is the field of complex numbers we can use the polynomial  $F$  of Corollary 3.2 to compute the zeros of the ideal  $I$ . The zeros of  $F$  are the eigenvalues of the multiplication map  $\lambda$  defined in Lemma 3.6. Let  $\lambda_1, \dots, \lambda_d$  be the (different) eigenvalues of  $\lambda$  then  $I = \bigcap_{i=1}^d \langle I, r - \lambda_i \rangle$ . Moreover,  $\langle I, r - \lambda_i \rangle$  is a maximal ideal in  $\mathbb{C}[X]$  representing a zero of  $I$  for  $i = 1, \dots, d$ .

Referring to Proposition 3.1, Corollary 3.2 and the above considerations, Algorithm 3 computes the associated primes of  $I$ .<sup>14</sup>

*Remark 3.9.* The presented versions of Algorithms 2 and 3 are just pseudo-code whereas their implementation in SINGULAR is optimized. E.g., the polynomials  $f_i^{(p)} \in \mathbb{F}_p[x_i]$  resp.  $F^{(p)} \in \mathbb{F}_p[T]$  for  $p \in P$  are not computed repeatedly, but stored and reused in further iteration steps.

*Remark 3.10.* Algorithm 2 resp. Algorithm 3 can easily be parallelized by computing the polynomials  $f_i^{(p)} \in \mathbb{F}_p[x_i]$  resp.  $F^{(p)} \in \mathbb{F}_p[T]$  in parallel. Experiments indicate that the difficult and time consuming part of Algorithm 3 is the test whether  $F(r) \in I$  and the computation of  $F_1(r), \dots, F_s(r)$ . These  $s + 1$  computations are independent from each other such that they can also be verified separately in parallel.

Following the idea of one of the referees we tried to avoid the computation of  $F(r)$  by computing a  $\mathbb{Q}[X, T]$ -Gröbner basis of  $\langle I, T - r \rangle$  w.r.t. an elimination ordering (eliminating  $X$ ) by using modular methods (cf. section 2) and FGLM-algorithm (cf. [FGLM93]). In this case we directly compute  $\langle I, T - r \rangle_{\mathbb{Q}[X, T]} \cap \mathbb{Q}[T] = \langle F \rangle$  and

<sup>14</sup>The corresponding procedures are implemented in SINGULAR in the library `assprimeszerodim.lib`.

**Algorithm 3** ASSPRIMES

---

**Input:**  $I \subseteq \mathbb{Q}[X]$  a zero-dimensional ideal.  
**Output:**  $L = \{M_1, \dots, M_s\}$ ,  $M_i$  prime and  $\sqrt{I} = \bigcap_{i=1}^s M_i$ .

compute  $G \subseteq \mathbb{Z}[X]$ , a  $\mathbb{Q}[X]$ -Gröbner basis of  $I$  w.r.t. a degree-ordering;<sup>15</sup>  
compute  $d = \dim_{\mathbb{Q}}(\mathbb{Q}[X]/I)$  using  $G$ ;  
choose  $a_1, \dots, a_{n-1} \in \mathbb{Z}$  randomly,  $r = a_1x_1 + \dots + a_{n-1}x_{n-1} + x_n$ ;  
**if** not PTESTRAD( $d, r, G$ ) **then**  
     $G = \text{ZERORADICAL}(G)$ ;  
     $d = \dim_{\mathbb{Q}}(\mathbb{Q}[X]/\langle G \rangle)$ ;  
choose  $P$ , a list of random primes;  
 $FP = \emptyset$ ;  
 $l = 0$ ;  
**loop**  
    **for**  $p \in P$  **do**  
        compute  $F^{(p)} \in \mathbb{F}_p[T]$  monic such that  $\langle F^{(p)} \rangle = \ker(\varphi_p)$ , whereat  $\varphi_p : \mathbb{F}_p[T] \rightarrow \mathbb{F}_p[X]/I_p$ ,  $\varphi_p(T) = r \pmod{I_p}$ ,<sup>16</sup>  
        **if**  $\deg(F^{(p)}) = d$  **then**  
             $FP = FP \cup \{F^{(p)}\}$ ;  
        **if**  $\#(FP) = l$  **then**  
             $G = \text{ZERORADICAL}(G)$ ;  
             $d = \dim_{\mathbb{Q}}(\mathbb{Q}[X]/\langle G \rangle)$ ;  
            choose  $a_1, \dots, a_{n-1} \in \mathbb{Z}$  randomly,  $r = a_1x_1 + \dots + a_{n-1}x_{n-1} + x_n$ ;  
        **else**  
            lift  $(FP, P)$  to  $F \in \mathbb{Q}[T]$  by applying Chinese remainder and Farey rational map;  
            factorize  $F = F_1^{\nu_1} \dots F_s^{\nu_s}$  with  $F_1, \dots, F_s$  irreducible;  
            compute  $F(r)$  and  $F_1(r), \dots, F_s(r)$ ;  
            **if**  $F(r) \in I$  **then**  
                **if** no proper factor of  $F(r)$  is in  $I$  **then**  
                    **return**  $\{\langle I, F_1(r) \rangle, \dots, \langle I, F_s(r) \rangle\}$ ;  
                **else**  
                    choose a non-trivial factor  $H$  of  $F$  of minimal degree such that  $H(r) \in I$ ;  
  
                    let  $F_{i_1}, \dots, F_{i_t}$  correspond to  $H$ ;  
                    **return**  $\text{ASSPRIMES}(\langle I, F_{i_1}(r) \rangle) \cup \dots \cup \text{ASSPRIMES}(\langle I, F_{i_t}(r) \rangle)$ ;  
            enlarge  $P$ ;  
             $l = \#(FP)$ ;

---

may consequently omit the verification. Experiments showed that this is as time consuming as the presented method in Algorithm 3.

*Remark 3.11.* Knowing the associated primes it is easy to compute the primary ideals using the method of Shimoyama and Yokoyama (cf. [SY96]): Let  $M_1, \dots, M_s$  be the associated primes of the zero-dimensional ideal  $I$  and  $\sigma_1, \dots, \sigma_s$  a system of separators, i.e.  $\sigma_i \notin M_i$  and  $\sigma_i \in M_j$  for  $j \neq i$ , then the saturation of  $I$  w.r.t.  $\sigma_i$  is

<sup>15</sup>Here we use the procedure MODSTD as described in section 2.

<sup>16</sup>All approaches mentioned in Lemma 3.6 are applicable to verify this step.

the primary ideal corresponding to  $M_i$ . Each  $\sigma_i$  can be chosen as  $\prod_{j \neq i} m_j$  whereat  $m_j$  is an element of a Gröbner basis of  $M_j$  which is not in  $M_i$ . The saturation can be computed modularly, similarly to `MODSTD` and in parallel.

#### 4. EXAMPLES, TIMINGS AND CONCLUSION

In this section we provide examples on which we time the algorithms `modStd` (cf. section 2) resp. `assPrimes` (cf. section 3) and their parallelizations as opposed to the usual algorithms `std` resp. `minAssGTZ`<sup>17</sup> implemented in `SINGULAR`. Timings are conducted by using the 32-bit version of `SINGULAR 3-1-2` on an AMD Opteron 6174 with 48 CPUs, 800 MHz each, 128 GB RAM under the Gentoo Linux operating system. All examples are chosen from The SymbolicData Project (cf. [G10]).

*Remark 4.1.* The parallelization of our modular algorithms is attained via multiple processes organized by `SINGULAR` library code. Consequently a future aim is to enable parallelization in the kernel via multiple threads.

We choose the following examples to emphasize the superiority of modular standard basis computation and especially its parallelization:

*Example 4.2.* Characteristic: 0, ordering: `dp`<sup>18</sup>, `Cyclic_8.xml` (cf. [BF91]).

*Example 4.3.* Characteristic: 0, ordering: `dp`, `Paris.ilias13.xml` (cf. [KoLa99]).

*Example 4.4.* Characteristic: 0, ordering: `dp`, homog. `Cyclic_7.xml` (cf. [BF91]).

*Example 4.5.* Characteristic: 0, ordering: `ds`<sup>19</sup>, `Steidel_1.xml` (cf. [Pf07]).

Table 1 summarizes the results where `modStd*(n)` denotes the parallelized version of the algorithm applied on  $n$  cores. In all tables, the symbol “-” indicates out of memory failures. All timings are given in seconds.

Exmp.	<code>std</code>	<code>modStd</code>	<code>modStd*(4)</code>	<code>modStd*(9)</code>	<code>modStd*(30)</code>
4.2	-	8271	4120	2927	1138
4.3	37734	1159	676	580	380
4.4	3343	3436	886	408	113
4.5	-	6	3	3	3

TABLE 1. Total running times for computing a standard basis of the considered examples via `std`, `modStd` and its parallelized variant `modStd*(n)` for  $n = 4, 9, 30$ .

The basic algorithm `std` runs out of memory for examples 4.2 and 4.5. As mentioned in section 2, it is possible to parallelize the computation in several parts of the algorithm `modStd`. In many cases it turns out that the final test - the

<sup>17</sup>The procedure `minAssGTZ` is implemented in `SINGULAR` in the library `primdec.lib` and computes the minimal associated prime ideals of the input.

<sup>18</sup>*Degree reverse lexicographical ordering:* Let  $X^\alpha, X^\beta \in \text{Mon}(X)$ .  $X^\alpha >_{dp} X^\beta : \iff \deg(X^\alpha) > \deg(X^\beta)$  or  $(\deg(X^\alpha) = \deg(X^\beta) \text{ and } \exists 1 \leq i \leq n : \alpha_n = \beta_n, \dots, \alpha_{i-1} = \beta_{i-1}, \alpha_i < \beta_i)$ , where  $\deg(X^\alpha) = \alpha_1 + \dots + \alpha_n$ ; cf. [GP07].

<sup>19</sup>*Negative degree reverse lexicographical ordering:* Let  $X^\alpha, X^\beta \in \text{Mon}(X)$ .  $X^\alpha >_{ds} X^\beta : \iff \deg(X^\alpha) < \deg(X^\beta)$  or  $(\deg(X^\alpha) = \deg(X^\beta) \text{ and } \exists 1 \leq i \leq n : \alpha_n = \beta_n, \dots, \alpha_{i-1} = \beta_{i-1}, \alpha_i < \beta_i)$ , where  $\deg(X^\alpha) = \alpha_1 + \dots + \alpha_n$ ; cf. [GP07].

verification whether the lifted set of polynomials includes the input and is itself a standard basis, see also Remark 2.5 - is a time consuming part. Therefore we extract the timings for the computation without the verification test in Table 2, again in seconds.

Exmp.	$\text{modStd}_{w/o \text{ v.}}$	$\text{modStd}^*_{w/o \text{ v.}}(4)$	$\text{modStd}^*_{w/o \text{ v.}}(9)$	$\text{modStd}^*_{w/o \text{ v.}}(30)$
4.2	7929	3751	2698	920
4.3	941	614	552	370
4.4	52	38	31	36
4.5	6	3	3	3

TABLE 2. Running times for  $\text{modStd}$  and  $\text{modStd}^*(n)$  with  $n = 4, 9, 30$  without verification test.

We consider the following examples for the computation of the associated prime ideals of a given zero-dimensional ideal :

*Example 4.6.* Characteristic: 0, ordering: `dp`, `Becker-Niermann.xml` (cf. [DGP98]).

*Example 4.7.* Characteristic: 0, ordering: `dp`, `FourBodyProblem.xml` (cf. [BM10]).

*Example 4.8.* Characteristic: 0, ordering: `dp`, `Reimer_5.xml` (cf. [BM10]).

*Example 4.9.* Characteristic: 0, ordering: `lp`<sup>20</sup>, `ZeroDim.example_12.xml` (cf. [G10]).

*Example 4.10.* Characteristic: 0, ordering: `dp`, `Cassou_1.xml` (cf. [BM10]).

Using modular methods via the algorithm `assPrimes` we apply all three variants mentioned in section 3.

- (1) approach of Eisenbud, Hunecke, Vasconcelos (cf. [EHV92]),
- (2) approach of Gianni, Trager, Zacharias (cf. [GTZ88]),
- (3) approach of Monico (cf. [M02]).

We summarize the results of the timings in Table 3 and 4 where  $\text{assPrimes}^*(n)$  denotes the parallelized version of the algorithm applied on  $n$  cores.

Exmp.	$\text{minAssGTZ}$	$\text{assPrimes}$			$\text{assPrimes}^*(4)$			$\text{assPrimes}^*(9)$		
		(1)	(2)	(3)	(1)	(2)	(3)	(1)	(2)	(3)
4.6	-	1	1	0	1	1	1	1	1	1
4.7	-	169	169	188	104	98	104	95	100	105
4.8	-	129	131	230	90	87	114	76	77	103
4.9	189	4	5	5	10	8	8	8	8	8
4.10	589	35	35	35	24	23	19	25	24	25

TABLE 3. Total running times for computing the associated prime ideals of the considered examples via `minAssGTZ`, `assPrimes` and its parallelized variant  $\text{assPrimes}^*(n)$  for  $n = 4, 9$ .

<sup>20</sup>*Lexicographical ordering:* Let  $X^\alpha, X^\beta \in \text{Mon}(X)$ .  $X^\alpha >_{lp} X^\beta : \iff \exists 1 \leq i \leq n : \alpha_i = \beta_1, \dots, \alpha_{i-1} = \beta_{i-1}, \alpha_i > \beta_i$ ; cf. [GP07].

The usual algorithm `minAssGTZ` runs out of memory for examples 4.6, 4.7 and 4.8. Analogously to the modular standard basis algorithm, we also list the timings needed for `assPrimes` resp. `assPrimes*(n)` without the final verification step - the check whether  $F(r) \in I$  and the computation of  $F_1(r), \dots, F_s(r)$ , see also Remark 3.10 - in Table 4.

Exmp.	<code>assPrimes</code> <sub>w/o ver.</sub>			<code>assPrimes*</code> <sub>w/o ver.</sub> <sup>(4)</sup>			<code>assPrimes*</code> <sub>w/o ver.</sub> <sup>(9)</sup>		
	(1)	(2)	(3)	(1)	(2)	(3)	(1)	(2)	(3)
4.6	1	1	0	1	0	0	1	1	1
4.7	15	14	34	7	7	13	5	5	15
4.8	41	37	139	39	38	64	30	26	55
4.9	4	5	5	9	8	8	8	8	8
4.10	7	6	7	5	5	5	5	4	6

TABLE 4. Running times for `assPrimes` and `assPrimes*(n)` with  $n = 4, 9$  without final verification step.

*Conclusion 4.11.*

- (1) For the computation of Gröbner bases resp. standard bases of ideals  $I \subseteq \mathbb{Q}[X]$  w.r.t. global resp. local orderings MODSTD should be used. This is usually faster even without parallel computing.
- (2) The probabilistic algorithm to compute standard bases works without any restriction to the ordering. It is much faster than the deterministic one. It can be used to obtain ideas in Algebraic Geometry and other fields by computing several examples, similarly to computations in positive characteristic 20 years ago when computations of standard bases in characteristic zero have been impossible resp. too slow.
- (3) A kernel-implementation of MODSTD could speed up the modular part using the trace-algorithm of Traverso (cf. [T89]).
- (4) An increasing number of cores used during the parallel computation of standard bases resp. associated primes speeds up the computation if the corresponding problem in positive characteristic takes some time to be computed. If the computations in positive characteristic are fast then an increasing number of cores may slow down the computations because of too much overhead.
- (5) In the current implementation Chinese remainder and Farey fractions are not parallelized. Experiments (e.g. the computation of the Gröbner basis of `Cyclic_9`) show that the computations in positive characteristic need different time on different cores. Therefore one should apply Chinese remainder and Farey fractions already to partial results.
- (6) For zero-dimensional primary decomposition the modular approach is very efficient. This should be extended to higher-dimensional ideals.

## 5. ACKNOWLEDGEMENT

The authors would like to thank Wolfram Decker and Gert-Martin Greuel for helpful discussions to prove Theorem 2.4. We also thank Christian Eder for important hints and discussions concerning the implementation of the described algorithms. In addition, we thank Frank Seelisch for revealing the observation about MAGMA V2.16–11 as specified in section 1. Finally, we would like to thank the anonymous referees whose comments greatly improved the paper.

## REFERENCES

- [A03] Arnold, E. A.: Modular algorithms for computing Gröbner bases. *Journal of Symbolic Computation* 35, 403–419 (2003).
- [BF91] Björck, G.; Fröberg, G.: A Faster Way to Count the Solution of Inhomogeneous Systems of Algebraic Equations, with Applications to Cyclic  $n$ -Roots. *Journal of Symbolic Computation* 12, 329–336 (1991).
- [BM10] Bini, D.; Mourrain, B.: Polynomial test suite. Frisco project (LTR 21.024). <http://www-sop.inria.fr/saga/POL/> (2010).
- [BW96] Becker, E.; Wörmann, T.: Radical computations of zero-dimensional ideals and real root counting. In: *Mathematics and Computers in Simulation* 42, 561–569 (1996).
- [DE05] Dickenstein, A.; Emiris, I. Z.: Solving Polynomial Equations. *Algorithms and Computation in Mathematics*, Volume 14, Springer (2005).
- [DGP98] Decker, W.; Greuel, G.-M.; Pfister, G.: Primary Decomposition: Algorithms and Comparisons. In: *Algorithmic Algebra and Number Theory*, Springer, 187–220 (1998).
- [DGPS10] Decker, W.; Greuel, G.-M.; Pfister, G.; Schönemann, H.: SINGULAR 3-1-1 — A computer algebra system for polynomial computations. <http://www.singular.uni-kl.de> (2010).
- [E83] Ebert, G. L.: Some comments on the modular approach to Gröbner bases. *ACM SIGSAM Bulletin* 17, 28–32 (1983).
- [EHV92] Eisenbud, D.; Huneke, C.; Vasconcelos, W.: Direct Methods for Primary Decomposition. *Inventiones Mathematicae* 110, 207–235 (1992).
- [FGLM93] Faugère, J. C.; Gianni, P.; Lazard, D.; Mora, T.: Efficient Computation of Zero-dimensional Gröbner Bases by Change of Ordering. *Journal of Symbolic Computation* 16, 329–344 (1993).
- [G94] Gräbe, H.-G.: On lucky primes. *Journal of Symbolic Computation* 15, 199–209 (1994).
- [G10] Gräbe, H.-G.: The SymbolicData Project — Tools and Data for Testing Computer Algebra Software. <http://www.symbolicdata.org> (2010).
- [GP07] Greuel, G.-M.; Pfister, G.: A SINGULAR Introduction to Commutative Algebra. Second edition, Springer (2007).
- [GTZ88] Gianni, P.; Trager, B.; Zacharias, G.: Gröbner Bases and Primary Decomposition of Polynomial Ideals. *Journal of Symbolic Computation* 6, 149–167 (1988).
- [KG83] Kornerup, P.; Gregory, R. T.: Mapping Integers and Hensel Codes onto Farey Fractions. *BIT Numerical Mathematics* 23(1), 9–20 (1983).
- [KoLa99] Kotsireas, I.; Lazard, D.: Central Configurations of the 5-body problem with equal masses in three-dimensional space. Representation theory, dynamical systems, combinatorial and algorithmic methods. Part IV, *Zap. Nauchn. Sem. POMI*, 258, POMI, St. Petersburg, 292–317 (1999).
- [KrLo91] Krick, T.; Logar, A.: An Algorithm for the Computation of the Radical of an Ideal in the Ring of Polynomials. *Applied Algebra, Algebraic Algorithms and Error Correcting Codes*, 9th International Symposium AAEECC-9, Springer Lecture Notes in Computer Science 539, 195–205 (1991).
- [M02] Monico, C.: Computing the Primary Decomposition of zero-dimensional Ideals. *Journal of Symbolic Computation* 34, 451–459 (2002).
- [Pa92] Pauer, F.: On lucky ideals for Gröbner bases computations. *Journal of Symbolic Computation* 14, 471–482 (1992).
- [Pf07] Pfister, G.: On Modular Computation of Standard Basis. *Analele Stiintifice ale Universitatii Ovidius, Mathematical Series XV* (1), 129–137 (2007).

- [ST89] Sasaki, T.; Takeshima, T.: A modular method for Gröbner-basis construction over  $\mathbb{Q}$  and solving system of algebraic equations. *Journal of Information Processing* 12, 371–379 (1989).
- [SY96] Shimoyama, T.; Yokoyama, K.: Localization and Primary Decomposition of Polynomial Ideals. *Journal of Symbolic Computation* 22, 247–277 (1996).
- [T89] Traverso, C.: Gröbner trace algorithms. *Symbolic and Algebraic Computation, International Symposium ISSAC '88, Springer Lecture Notes in Computer Science* 358, 125–138 (1989).
- [WGD82] Wang, P. S.; Guy, M. J. T.; Davenport, J. H.:  $P$ -adic Reconstruction of Rational Numbers. *ACM SIGSAM Bulletin* 16, 2–3 (1982).
- [W87] Winkler, F.: A  $p$ -adic approach to the computation of Gröbner bases. *Journal of Symbolic Computation* 6, 287–304 (1987).

NAZERAN IDREES, ABDUS SALAM SCHOOL OF MATHEMATICAL SCIENCES, GC UNIVERSITY, LAHORE, 68-B, NEW MUSLIM TOWN, LAHORE 54600, PAKISTAN  
*E-mail address:* `nazeranjawwad@gmail.com`

GERHARD PFISTER, DEPARTMENT OF MATHEMATICS, UNIVERSITY OF KAISERSLAUTERN, ERWIN-SCHRÖDINGER-STR., 67663 KAISERSLAUTERN, GERMANY  
*E-mail address:* `pfister@mathematik.uni-kl.de`  
*URL:* `http://www.mathematik.uni-kl.de/~pfister`

STEFAN STEIDEL, DEPARTMENT OF MATHEMATICS, UNIVERSITY OF KAISERSLAUTERN, ERWIN-SCHRÖDINGER-STR., 67663 KAISERSLAUTERN, GERMANY  
*E-mail address:* `steidel@mathematik.uni-kl.de`  
*URL:* `http://www.mathematik.uni-kl.de/~steidel`